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## Soesbe Financial Privacy Policy

Soesbe Financial recognizes that our relationships with current and prospective clients are based on integrity and trust. We work hard to maintain your privacy and to preserve the private nature of our relationship with you. We place the highest value on the information you share with us.

Soesbe Financial will not disclose your personal information to anyone unless it is required by law or at your direction. We will not sell your personal information, including your email address, to anyone. We want our clients to understand what information we collect, how we use it, and how we protect your personal information. Soesbe Financial will provide this privacy statement to all current clients annually, however, this policy is applicable for current and former clients.

### ***Why We Collect Your Information***

All financial companies need to collect client personal information to provide services to clients and run their everyday business. We gather information about you so that we can:

- Help design and implement the planning and investment-related services we provide you; and
- Comply with the Federal and State laws and regulations that govern us.

### ***What Information We Collect and Maintain***

We may collect the following types of “nonpublic personal information” about you:

- Information from our initial meeting or subsequent consultations about your identity, such as your name, address, social security number, date of birth, and financial information.
- Information that we generate to service your financial needs.
- Information that we may receive from third parties with respect to your financial profile.

### ***What Information We Disclose***

We are permitted by law to disclose nonpublic information about you to unaffiliated third parties in certain circumstances. For example, in order for us to provide planning or investment management services to you, we may disclose your personal information in limited circumstances to various service providers, such as our clearing firm or independent service providers (e.g. software providers) used by Soesbe Financial.

Otherwise, Soesbe Financial will not disclose any personal information about you or your account(s) unless one of the following conditions is met:

- We receive your prior written consent; or
- We have documentation that the recipient is your authorized representative; or
- We are required by law to disclose information to the recipient

Arrangements with companies or independent contractors not affiliated with Soesbe Financial will be subject to confidentiality agreements.

### ***How We Protect Your Personal Information***

Privacy has always been important to Soesbe Financial. We restrict and limit access to client information only to those who need to carry out their business functions. We maintain a secure office and computer environment with physical, electronic, and procedural safeguards to protect your confidential personal information. These include confidentiality agreements with companies we hire to help us provide services to you, physical and password-protected user access to our files and servers, training of employees, and strict confidentiality policies that apply to all employees.

**If you have any questions, concerns or comments about our privacy policy, you may contact us at 916-587-5100.**